

Mac Charles (India) Limited

CIN: L55101KA1979PLC003620

Regd office:

P. B. No. 174,

28, Sankey Road,

Bangalore – 560052

Tel: 2226 2233/2228 2828

Fax: Intl: (0091-80)2226 7676 / 2226 2050

E-mail: investor.relations@lemeridienbangalore.com

website: www.machcharlesindia.com

To
The General Manager- Listing
BSE Limited
24th Floor, P J Towers, Dalal Street, Fort
Mumbai-400001

14th April, 2020

Dear Sir,

Sub: Certificate of Compliance pursuant to Regulation 40 (9) and 40 (11) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“LODR”) for the Half Year ended March 31, 2020

Ref: Scrip Code 507836

Pursuant to the provisions of Regulation 40(9) and 40(11) of LODR, we are submitting the Certificate of Compliance obtained from Mr. Umesh P Maskeri, Practicing Company Secretary for the Half Year ended March 31, 2020. Owing to the prevailing situation of complete lock down on account of COVID 19, this letter is being submitted under Sd/- mode.

Request you to take the same on record.

Thanking you,
Yours faithfully,

For Mac Charles (India) Limited



Sd/-

M S Reddy
Company Secretary and Compliance Officer



Umesh P Maskeri
Practicing Company Secretary

B.Sc., CAIIB., L.Lb., FCS, PGDSL

No 304, Geetanjali Heights, Plot No.77, Sector 27
Near Presentation Convent School, Nerul East, Navi Mumbai-400 706
Tele: 022 -27716918/19; Mobile: 09930178352; Email: umeshmaskeri@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) & 40(11) OF
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015
FOR THE HALF YEAR ENDED MARCH 31, 2020**

| | |
|---|--|
| To BgSE Financials Limited Registrar and Transfer Agent Stock Exchange Towers 51, 1st Cross, J C Road Bangalore-560027 | To Mac Charles (India) Limited Registered Office, 28 Sankey Road Bangalore-560052 |
|---|--|

Dear Sir,

Certificate pursuant to Regulation 40(9) & 40(11) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Half Year ended March 31, 2020

The Securities and Exchange Board of India ("SEBI") vide its notification dated June 8, 2018 and its press release dated December 3, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI LODR") and has mandated that transfer of securities would be permitted only in dematerialised form with effect from April 1, 2019. Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to the deadline and returned due to deficiency in document may be relogged for transfer even after the deadline of April 1, 2019.

We have examined all the documents and requests received for transfer, transmission, transposition, sub-division, consolidation, renewal, endorsement of calls/allotment monies, deletion of name and change of name relating to MAC CHARLES (INDIA) LIMITED ("Company") maintained by BgSE Financials Limited, Bangalore, the Registrar and Transfer Agents ("RTA") during the Half Year ended March 31, 2020 for the purpose of compliance with the provisions of Regulation 40 (9) and 40 (11) of SEBI LODR and the listing agreement entered into by Mac Charles (India) Limited with the BSE Limited. Based on the information provided by the RTA and the Company and our verification, we hereby certify as under:

- Company has not received any transfer deeds for transfer which were lodged and rejected owing to deficiencies prior to April 1, 2019 and relogged during the half year.





Umesh P Maskeri
Practicing Company Secretary

B.Sc., CAIB., L.Lb., FCS., PGDSL

- b) Company has received 1 (one) request for consolidation of securities held physical form covering 200 equity shares and has processed the same within the stipulated timelines;
- c) Company has received 6 (six) requests covering 950 equity shares for transmission of securities and has processed the same within the stipulated timelines;
- d) Company has received 16 (sixteen) requests covering 2,000 equity shares for the deletion of name of the deceased holder of securities, where the securities are held in the name of two or more holders of securities and has processed the same within the stipulated timelines;
- e) Company has received 2 (Two) requests for change of name of securities covering 400 equity shares and has processed the request within the stipulated timelines.
- f) Company has not received any requests for sub-division, renewal and endorsement of calls/allotment monies of securities held in physical form;
- g) Company has not received any request for transposition of securities, when there is a change in the name of two or more holders of securities.

UMESH P MASKERI
PRACTICING COMPANY SECRETARY
FCS No 4831 COP NO 12704
UDIN F004831B000151912

Place: Mumbai
Date: April 10, 2020

